

For Global Financial Private Capital  
Important Information. No action required.

We appreciate your business and the trust you have placed in us. Our privacy philosophy reflects the value of your trust. We are committed to protecting the personal data we obtain about you. We will follow our then Privacy Policy if you are no longer a client. Please know that we do not sell your personal data. In order to provide services or products to you, we may use your personal data. To further understand our Privacy Policy, please review the following details.

## WHAT PERSONAL DATA MAY WE COLLECT ABOUT YOU?

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We may collect your personal data to provide you with the products or services you requested. We may obtain it from your application, your transactions with us, and outside parties such as consumer reporting agencies. We may collect personal data about you to process transactions and to prevent fraud. Where required, we will obtain your consent before collecting it. The personal data may include:

- Name, address and other personal information
- Income and assets
- Accounts at other institutions
- Social security, driver's license, or taxpayer identification number

## WHAT DO WE DO WITH YOUR PERSONAL DATA?

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We comply with federal and state requirements related to the protection and use of your data. This means that we only share data where we are permitted or required to do so to provide services to you. We also may be required to obtain your authorization before disclosing certain types of personal data.

We may use your personal data in order to:

- Process transactions
- Respond to your requests
- Prevent fraud
- Comply with regulatory requirements
- Share with you related products and services we offer

We do not sell personal data about current or former customers or their accounts. We do not share your personal data with any affiliates or outside companies for marketing purposes. When affiliates or outside companies perform a service on our behalf, we may share your personal data with them solely in connection with providing those services. We require them to protect your personal data, and we only permit them to use your personal data to perform these services.

Examples of outside parties who may receive your personal data are:

- Your financial advisor or other authorized agent(s)
- Your brokerage firm or custodian
- State or federal authorities
- Other companies or service providers we use to provide services to you

Certain web browsers may have the ability to provide Do Not Track (DNT) signals to web sites. AssetMark does not respond to DNT signals.

## **HOW DO WE PROTECT YOUR PERSONAL DATA?**

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In order to protect your personal data, we maintain physical, electronic and procedural safeguards. We review these safeguards regularly in keeping with technological advancements. We restrict access to your personal data. We also train our employees in the proper handling of your personal data.

## **OUR COMMITMENT TO KEEPING YOU INFORMED.**

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We will send you a new Privacy Policy in the event we broaden our data sharing practices and you are still a client.

You are receiving this Privacy Policy because you are a client of Global Financial Private Capital

All rights reserved. Global Financial Private Capital LLC. is an investment adviser registered with the U.S. Securities and Exchange Commission.

This must remain with the Client.